## Physics 127C – Homework Set 4 (due June 15)

## Problem 1

Let H be a lattice Hamiltonian on a finite dimensional lattice with eigen-decomposition  $H = \sum_k E_k |E_k\rangle \langle E_k|$ . A Hamiltonian H and an observable O satisfies the Eigenstate Thermalization Hypothesis if

$$\langle E_k | O | E_l \rangle = f_O(\overline{E}) \delta_{kl} + 2^{-S(\overline{E})/2} g_O(\overline{E}, \Delta) r_{k,l}, \tag{1}$$

with  $\overline{E} = (E_k + E_l)/2$ ,  $\Delta = E_k - E_l$ ,  $S(\overline{E})$  the thermodynamic entropy at energy  $\overline{E}$ ,  $r_{k,l}$  normal variables with mean 0 and variance 1, and f, g smooth functions. Define

$$O(t) = \langle \psi_0 | e^{-itH} O e^{itH} | \psi_0 \rangle \tag{2}$$

as the expectation value of O at time t on the initial state  $|\psi_0\rangle$ . Define the fluctuation of O(t) as

$$\sigma_O^2 = \lim_{t_0 \to \infty} \frac{1}{t_0} \int_0^{t_0} dt [O(t)]^2 - (\overline{O})^2, \tag{3}$$

with

$$\overline{O} = \lim_{t_0 \to \infty} \frac{1}{t_0} e^{-itH} O e^{itH}.$$
(4)

the time-average of O.

Show ETH implies that

$$\sigma_O^2 \le 2^{-S(\overline{E})} \max_{\overline{E}, \Delta} |g_O(\overline{E}, \Delta)|^2 \tag{5}$$

Since one can show that  $g_O(\overline{E}, \Delta) \leq n^{1/2}$ , with *n* the number of particles of the Hamiltonian, argue that Eq. (5) shows that fluctuations are exponentially small in *n*.

## Problem 2

A phenomenological model of a many-body localized system of a one-dimensional model with local two-state degrees of freedom is the following:

$$H = \sum_{i} h_i \tau_i^z + \sum_{ij} J_{ij} \tau_i^z \tau_j^z + \dots$$
(6)

where  $\tau_i$  are local Pauli operators for the *l*-bit and denote the local integrals of motion within a localization length  $\xi$ . The coefficients  $h_i$  are random Zeeman fields uniformly distributed between [-h,h]. The couplings  $J_{ij} = \tilde{J}_{ij} \exp(-|i - j|/\xi)$  describes the interaction between different *l*-bits and  $\tilde{J}_{ij}$  are uniformly distributed between [-J, J]. The eigenstate of *H* can be written as  $|n\rangle = |\tau_1^z, \tau_2^z, \ldots\rangle$ , where  $\tau_i^z = 0$  or 1.

Consider the infinite temperature out-of-time correlator given by

$$F(t) = \frac{1}{2^n} \sum_{n} \langle n | U^{\dagger} \tau_i^x U \tau_j^x U^{\dagger} \tau_i^x U \tau_j^x | n \rangle,$$
(7)

with  $U = e^{-itH}$  and *n* the number of sites. Show (ignoring 3- and higher-order body terms in Eq. (6)) that

$$F(t) = \cos(4J_{ij}t). \tag{8}$$

Also show that averaging over the disorder (i.e. the random couplings of the Hamiltonian),

$$\overline{F}(t) = \frac{\sin(4J\exp(-|i-j|/\xi)t)}{4J\exp(-|i-j|/\xi)t}.$$
(9)

Consider the early time behaviour of  $\overline{F}(t)$  and show that it deviates from unit as a power law (in contrast to exponentially, as in ergodic systems).

## Problem 3

Let H be a Hamiltonian with non-degenerate energy gaps. Let S be a region of the lattice and B its complement. Let  $|\Psi(t)\rangle = e^{itH}|\Psi(0)\rangle$  be the state of the total system(i.e. SB) at time t and  $\rho_S(t) = \text{tr}_B(|\Psi(t)\rangle\langle\Psi(t)|)$  the reduced density matrix in S. Let also  $\omega$  be the time averaged state. In class we saw that

$$\|\rho_S(t) - \omega_S\|_1 \le \sqrt{\frac{d_S^2}{d^{\text{eff}}(\omega)}},\tag{10}$$

with

$$d^{\text{eff}}(\omega) = \frac{1}{\text{tr}(\omega^2)} \tag{11}$$

the effective dimension.

Show that the average of  $d^{\text{eff}}(\omega)$  for uniformly distributed initial states, over a subspace  $\mathcal{H}_R$  of dimension  $d_R$ , satisfies:

$$d^{\text{eff}} \ge d_R/2,\tag{12}$$

*Note:* You can use without a proof the following fact (which is an easy exercise in representation theory):

$$\int \mu(d\psi)|\psi\rangle\langle\psi|\otimes|\psi\rangle\langle\psi| = (I+F)/(d(d+1)), \tag{13}$$

with  $\mu$  the uniform (Haar) distribution over a vector space H of dimension d, I the identity on  $\mathcal{H} \otimes \mathcal{H}$  and F the swap operator on the space  $\mathcal{H} \otimes \mathcal{H}$ , defined by

$$F|a,b\rangle = |b,a\rangle \tag{14}$$