

# Physics 125b Solutions of Problem Set 3

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## Problem 1

The perturbation breaks rotational invariance of the Hamiltonian. One can see this by e.g. evaluating the commutator  $[J_x, H^{(1)}]$  and verifying that  $[J_x, H^{(1)}] \neq 0$ .

The eigenvectors of the unperturbed Hamiltonian will be indexed by the total energy of two 3D harmonic oscillators,  $E_{tot}$ . Note that 3D harmonic oscillator can be treated as three 1D oscillators, and thus the energy levels of fermion  $i$  can be labeled by a triple of numbers  $(n_i^x, n_i^y, n_i^z)$ . Note that

$$z_i = \sqrt{\frac{\hbar}{2m\omega}}(a_i^z + (a_i^z)^\dagger) \quad (1)$$

When we evaluate first order corrections  $\langle \psi | H^{(1)} | \psi \rangle$  to energy for the state  $|\psi\rangle$  with total energy  $E_{tot}$ , the perturbation  $H^{(1)}$  acting on  $|\psi\rangle$  will create a superposition of states with different total energy,  $E_{tot} \pm \hbar\omega$  (if  $|\psi\rangle$  is the ground state, then only  $E_{tot} + \hbar\omega$ ). We know that states with different energies are orthogonal and thus first order corrections vanish.

## Problem 2

Note that the wavefunction describing the system of two identical fermions has to be antisymmetric. There is a unique ground state  $|\psi_0\rangle$  with total energy  $E_0 = 3\hbar\omega$ , namely

$$|\psi_0\rangle = \frac{1}{\sqrt{2}}|0, 0, 0\rangle \otimes |0, 0, 0\rangle \otimes (|\uparrow\downarrow\rangle - |\downarrow\uparrow\rangle). \quad (2)$$

There are 12 excited states  $|\psi_i\rangle$  with total energy  $E_i = 4\hbar\omega$  listed below

$$\begin{aligned}
|\psi_1\rangle &= \frac{1}{2}(|1, 0, 0\rangle \otimes |0, 0, 0\rangle + |0, 0, 0\rangle \otimes |1, 0, 0\rangle) \otimes (|\uparrow\downarrow\rangle - |\downarrow\uparrow\rangle), \\
|\psi_2\rangle &= \frac{1}{2}(|0, 1, 0\rangle \otimes |0, 0, 0\rangle + |0, 0, 0\rangle \otimes |0, 1, 0\rangle) \otimes (|\uparrow\downarrow\rangle - |\downarrow\uparrow\rangle), \\
|\psi_3\rangle &= \frac{1}{2}(|0, 0, 1\rangle \otimes |0, 0, 0\rangle + |0, 0, 0\rangle \otimes |0, 0, 1\rangle) \otimes (|\uparrow\downarrow\rangle - |\downarrow\uparrow\rangle), \\
|\psi_4\rangle &= \frac{1}{\sqrt{2}}(|1, 0, 0\rangle \otimes |0, 0, 0\rangle - |0, 0, 0\rangle \otimes |1, 0, 0\rangle) \otimes |\uparrow\uparrow\rangle, \\
|\psi_5\rangle &= \frac{1}{\sqrt{2}}(|0, 1, 0\rangle \otimes |0, 0, 0\rangle - |0, 0, 0\rangle \otimes |0, 1, 0\rangle) \otimes |\uparrow\uparrow\rangle, \\
|\psi_6\rangle &= \frac{1}{\sqrt{2}}(|0, 0, 1\rangle \otimes |0, 0, 0\rangle - |0, 0, 0\rangle \otimes |0, 0, 1\rangle) \otimes |\uparrow\uparrow\rangle, \\
|\psi_7\rangle &= \frac{1}{2}(|1, 0, 0\rangle \otimes |0, 0, 0\rangle - |0, 0, 0\rangle \otimes |1, 0, 0\rangle) \otimes (|\uparrow\downarrow\rangle + |\downarrow\uparrow\rangle), \\
|\psi_8\rangle &= \frac{1}{2}(|0, 1, 0\rangle \otimes |0, 0, 0\rangle - |0, 0, 0\rangle \otimes |0, 1, 0\rangle) \otimes (|\uparrow\downarrow\rangle + |\downarrow\uparrow\rangle), \\
|\psi_9\rangle &= \frac{1}{2}(|0, 0, 1\rangle \otimes |0, 0, 0\rangle - |0, 0, 0\rangle \otimes |0, 0, 1\rangle) \otimes (|\uparrow\downarrow\rangle + |\downarrow\uparrow\rangle), \\
|\psi_{10}\rangle &= \frac{1}{\sqrt{2}}(|1, 0, 0\rangle \otimes |0, 0, 0\rangle - |0, 0, 0\rangle \otimes |1, 0, 0\rangle) \otimes |\downarrow\downarrow\rangle, \\
|\psi_{11}\rangle &= \frac{1}{\sqrt{2}}(|0, 1, 0\rangle \otimes |0, 0, 0\rangle - |0, 0, 0\rangle \otimes |0, 1, 0\rangle) \otimes |\downarrow\downarrow\rangle, \\
|\psi_{12}\rangle &= \frac{1}{\sqrt{2}}(|0, 0, 1\rangle \otimes |0, 0, 0\rangle - |0, 0, 0\rangle \otimes |0, 0, 1\rangle) \otimes |\downarrow\downarrow\rangle.
\end{aligned} \tag{3}$$

Note that

$$\begin{aligned}
H^{(1)}|\psi_0\rangle &= \frac{\epsilon\hbar}{2} \sqrt{\frac{\hbar}{4m\omega}} |0, 0, 1\rangle \otimes |0, 0, 0\rangle \otimes (-|\uparrow\downarrow\rangle - |\downarrow\uparrow\rangle) + \\
&\quad \frac{\epsilon\hbar}{2} \sqrt{\frac{\hbar}{4m\omega}} |0, 0, 0\rangle \otimes |0, 0, 1\rangle \otimes (|\uparrow\downarrow\rangle + |\downarrow\uparrow\rangle) \\
&= \frac{\epsilon\hbar}{2} \sqrt{\frac{\hbar}{4m\omega}} (-|0, 0, 1\rangle \otimes |0, 0, 0\rangle + |0, 0, 0\rangle \otimes |0, 0, 1\rangle) \otimes (|\uparrow\downarrow\rangle + |\downarrow\uparrow\rangle)
\end{aligned} \tag{4}$$

Since the perturbation can increase the energy by one unit, we only need to take into account 1st excited states in calculating second order corrections

to energy, namely

$$-\sum_{i=1}^{12} \frac{|\langle \psi_i | H^{(1)} | \psi_0 \rangle|^2}{E_i - E_0}, \quad (5)$$

and the only non-zero term is for  $i = 9$ , so we get

$$\Delta E_0 = -\frac{\epsilon^2 \hbar^2}{4m\omega^2}. \quad (6)$$

Note that for Eq. (5) to be correct, the states  $|\psi_i\rangle$  have to be normalized.

### Problem 3

We have

$$p = i\sqrt{\frac{\hbar m \omega}{2}}(a^\dagger - a) \quad (7)$$

and we notice that  $\langle k | \frac{\eta p}{m} | n \rangle$  is non-zero only for  $k = n \pm 1$ . We obtain

$$\begin{aligned} \langle n+1 | \frac{\eta p}{m} | n \rangle &= \frac{i\eta}{m} \sqrt{\frac{\hbar m \omega}{2}} \sqrt{n+1}, \\ \langle n-1 | \frac{\eta p}{m} | n \rangle &= -\frac{i\eta}{m} \sqrt{\frac{\hbar m \omega}{2}} \sqrt{n}, \end{aligned} \quad (8)$$

so from the first-order time dependent perturbation theory we obtain the amplitudes of the state after time  $t$

$$\begin{aligned} c_n(t) &= 1, \\ c_{n+1}(t) &= -\frac{i}{\hbar} \int_0^t \langle n+1 | H^{(1)} | n \rangle e^{i\omega\tau} d\tau = \frac{\eta}{\hbar m} \sqrt{\frac{\hbar m \omega}{2}} \sqrt{n+1} \frac{1}{i\omega} (e^{i\omega t} - 1), \\ c_{n-1}(t) &= -\frac{\eta}{\hbar m} \sqrt{\frac{\hbar m \omega}{2}} \sqrt{n} \frac{1}{-i\omega} (e^{-i\omega t} - 1). \end{aligned} \quad (9)$$

The (normalized) wavefunction after time  $t$  is given by

$$|\psi(t)\rangle = |n\rangle + c_{n+1}(t)|n+1\rangle + c_{n-1}(t)|n-1\rangle + \mathcal{O}(\eta^2). \quad (10)$$

Note that this wave function is automatically normalized to  $\mathcal{O}(\eta)$  because the time evolution is unitary. The probabilities to transit to state  $|n+1\rangle$

and  $|n - 1\rangle$  are

$$P_{n+1}(t) = |c_{n+1}(t)|^2 \quad (11)$$

$$P_{n-1}(t) = |c_{n-1}(t)|^2, \quad (12)$$

From unitarity, these imply the probability to stay in  $|n\rangle$  is  $P_n(t) = 1 - P_{n+1}(t) - P_{n-1}(t)$ . The change of energy to leading order in  $\eta$  is given by

$$\Delta E = \langle \psi(T) | H | \psi(T) \rangle - E_n \quad (13)$$

$$= E_{n+1}P_{n+1}(T) + E_{n-1}P_{n-1}(T) + E_nP_n(T) - E_n \quad (14)$$

$$= (E_{n+1} - E_n)P_{n+1}(T) + (E_{n-1} - E_n)P_{n-1}(T) \quad (15)$$

$$= 2\frac{\eta^2}{m} \sin^2 \frac{\omega T}{2}. \quad (16)$$

The rate is simply  $\Delta E/T$ .